

#### **CERTIFICATE OF MAIL**

I hereby certify that this correspondence is being deposited with the United States Postal Service as <u>first class mail</u> in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, Box Missing Parts, on

Date: November 1, 2000

Signature: Carol Felenstein

Carol Felenstein

CCR&W Docket No. 6311-11

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Robert Rademacher, David Adkisson, David Maloy

Application Serial No.:

09/597,881

Filed:

June 20, 2000

For:

LEVERAGE MARGIN MONITORING AND

**MANAGEMENT** 

Primary Examiner:

Not Assigned

Group Art Unit:

2766

Commissioner for Patents Washington, DC 20231

Attention: Box Missing Parts

#### TRANSMITTAL LETTER

Dear Sir:

#### Submitted herewith is

- 1. Executed Combined Declaration and Power of Attorney
- 2. Notice to File Missing Parts of Application

The Commissioner is hereby authorized to charge any fee which may be required or to credit any overpayment to Account No. <u>50-0521</u>. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Dated: November 1, 2000

Joseph P. Kincart Reg. No. 43,716

Attorney for Applicants Tel.: (212) 878-3289

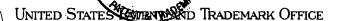
# **MAILING ADDRESS**

CLIFFORD CHANCE ROGERS & WELLS LLP 200 Park Avenue, 53<sup>rd</sup> Floor New York, NY 10166-0153









COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspio.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/597,881

06/20/2000

Robert Rademacher

6311-11

Clifford Chance Rodgers & Wells LLP 200 Park Avenue New York, NY 10166-0153



Date Mailed: 09/28/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

**Customer Service Center** 

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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